

Scaling up learning analytics solutions: Is privacy a show-stopper?





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Scaling up: From the research labs to the classroom



Research Ethics

General Ethics

Ethics & Privacy a Show-stopper?



Unlawful Learning Analytics?

Lawfulness, Purpose limitation, Data minimisation, Consent, etc.



«learning analytics will be unlawful and the school owner will not be able to maintain the most vital data protection principle: the data principal (the student) will not have control of and a say concerning the use of his or her own information»

(IKT-senteret, Norway = KERIS in Korea)

Ethics are increasingly important

'Visions of the Future' run by www.laceproject.eu

Too much Big Brother vision to be appealing.

Examples from USA and InBloom clearly demonstrates that lack of trust regarding how private data is managed is crucial There should be strong discussion about the Ethical concerns that apply to each approach to Learning Analytics Learning Analytics is being used in schools with no consideration of ethics, still less consultation or informed consent. This is likely to lead to a backlash.

Concerned about device security, data protection, transparency of policy, use of data and ethics. important that all aspects of privacy and data integrity and data control is secure Users should be entitled to know how their data are interrogated and used and for what reasons. This should be made explicit and easy to understand

Continue to raise profile of ownership and ethics around data.

What could go wrong?

Nine states, 11 million students, \$10 million

HELP FIGHT inBloom student data snatchers

Test scores, attendance records and daily grades may not provide Gates with the entire picture he desires to track our students. Taking prescriptive learning and analytics to the next level, Gates proposes using "galvanic" bracelets to measure student engagement

Relevant Questions to be asked

Mason et al. Research and Practice in Technology Enhanced Learning (2016) 11:12 DOI 10.1186/s41039-016-0037-1 RESEARCH Questions as data: illu potential of learning a questioning an emerged Jon Mason ^{1*} , Weigin Chen ² and Tore Hoel ²	Research and Practice Technology Enhanced Learnin Open Access Iminating the Analytics through
Correspondence: jon.mason@cdu. eduau 'School of Education, Charles Darwin, Indirestity, Darwin 0900 to Abstract Abstract Abstract Australia Full list of autority availa REPORT Approximately	Dications of Ident Data in Higher
Co	optember 6, 2016 Scharon Slade
	TTHAKA SAR

Questions (Mason et al. 2016)

The ability for the user to withdraw consent at any time

Do students have the right to withdraw from data collection and analysis after previously giving their consent?

Can all data regarding an individual (expect that necessary for statutory purposes) be deleted?

To what extent should students be able to access the analytics performed on their data?

What are the concerns when outsourcing the collection and analysis of data? Who owns the data?

To what extent do we provide students the option to update their data and provide extra (possibly qualitative) data?

What data should students be able to view, i.e. what and how much information should be provided to the student?

Are interconnected datasets a threat to personal and democratic principles?

What should students be told about the potential consequences of opting out of data collection and analysis of their learning?

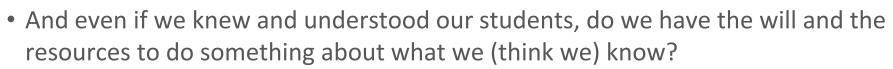
Can students be identified from metadata even if personal data has been deleted?

Questions (Slade 2016)

- Activities which should always be off-limits? (E.g., physical tracking to locate students at any time? Non-study related online activity?
- Who "owns" student data? Personal and study data as a commodity to be traded?
- Should all activities/applications come with full disclosure?
- Should applications only be used if they can be understood by students?
- Should there be limits around the confidence levels of predictions used to drive interventions? Should anything predictive be sense checked for context by a human first?
- Should all students have equal "rights" to interventions?
- Availability of data (access to; completeness of; or the use of one available dataset as a poor proxy for another, etc.)?

Ethics and Learning Analytics

- Knowing
- Not knowing
- Refusing to know
- Acting upon what you know
- Not acting upon what you know
- What responsibility is it to know?
- Can we un-know knowing...?





What is the Law?



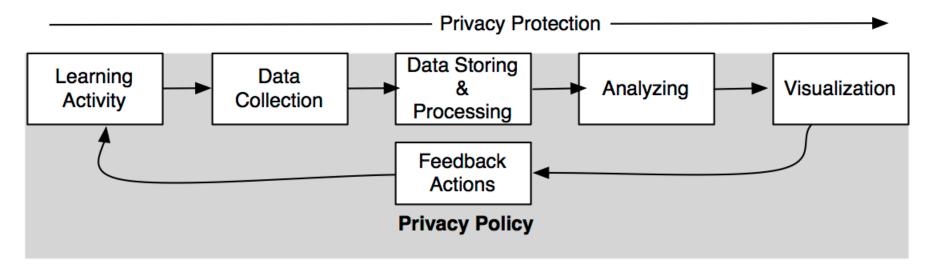
New European Data Protection Regulation (GDPR) for the digital age

Published May 2016 – National law in all European countries from 2018



- Consent for processing data: A clear affirmative action
- Easy access to your own data (Data Portability)
- Data breaches (e.g., hacking): Notice without undue delay
- Right to be forgotten
- Data protection by design and Data protection by default

Each LA process is affected by GDPR



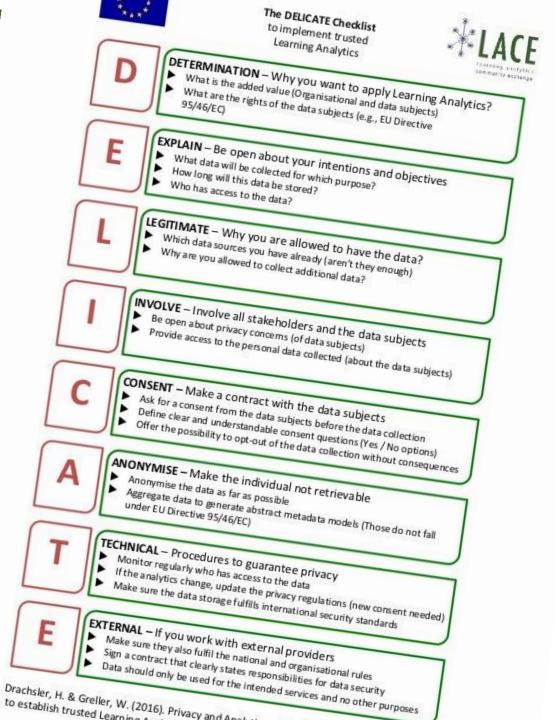
LA processes defined by ISO/IEC JTC 1/SC36

Global Privacy Frameworks

- Global market for LA tools
- EU regulations may be more strict...
- Should privacy frameworks influencing Asian DP laws be updated?
- Share the same foundational DP principles

OECD	APEC	EU: GDPR (Article 5 & 25)
Collection limitation	Preventing harm	Lawfulness, fairness and transparency
Data Quality	Notice	Purpose limitation
Purpose specification	Collection limitation	Data minimisation
Use limitation	Uses of Personal Information	Accuracy
Security Safeguards	Choice	Storage limitation
Openness	Integrity of Personal Information	Integrity and confidentiality
Individual Participation	Security Safeguards	Accountability
Accountability	Access & Correction	
	Accountability	(Article 25) Data Protection by Design and by Default

The LACE DELICATE Checklist to implement trusted Learning **Analytics**



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In the meantime – develop institutional policies on Ethical use of Student Data for Learning Analytics

08

Modelling and interventions based on analysis of data should be sound and free from bias. Adoption of learning analytics within the OU requires broad acceptance of the values and benefits (organisational culture) and the development of appropriate skills across the organisation.

Learning analytics is an ethical practice that should align with core organisational principles, such as open entry to undergraduate level study.

> The OU has a responsibility to all stakeholders to use and extract meaning from student data for the benefit of students where feasible.

02

03

Students should not be wholly defined by their visible data or our interpretation of it.

04

07 Students should be

students should be engaged as active agents in the implementation of learning analytics (e.g., personalised learning paths, interventions).

06

Example from Open University, UK, 2014

PRINCIPLES

for the ethical use of student data for learning analytics

The University is transparent regarding data collection, and will provide students with the opportunity to update their own data at regular intervals.

The purpose and boundaries regarding the use of learning analytics should be well defined and visible.

Thank you!

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